



Re-budget

Note: Prior approval required, if your award requires modification to the approved budget cost categories due to a shift in the project activities on the original award.

- -Transfer of funds exceed the Simple Acquisition Threshold (\$250,000)
- -Transfer of funds exceed 10% of the total budget (Federal and Non-Federal)
- -Transfer of funds into a budget category that have yet to be funded

Non-federal entities must submit same budget forms as provided in the application with clear picture of how the funds were moved around. Justification should explain why the re-budget/reprogram is needed.

Prior approval waived for research grants

Re-budgeting for awards less than \$250,000

Non-federal entities with awards with a total award amount less than \$250,000 have more flexibility in reprogramming their budget. Non-federal entities are allowed to move funds between direct cost categories, or within cost categories, as long as they are not creating a new budget category, or completely "zeroing" out a category.

Simply put, Non-federal entities are allowed to re-budget without written approval of the grant officer if they are moving less than 10% of the entire award (for the entire life of the award) to another direct cost category.

What if I need a new category or don't need the entire category in my approved budget?

Regardless of the dollar amount, if you wish to add funds to a category that was previously zero, or removing all funds in the budget for a category, you must request approval for the change in Grants Online.

What needs to be included in my request?

The request needs to include the following items and must be submitted in Grants Online:

- 1. Justification for the change. This will describe why the re-budget is necessary and any programmatic implications of the change. This can be a separate PDF or MS Word file.
- 2. A revised budget narrative. This can either be a PDF or MS Word file and can be included in the justification.
- 3. A revised SF-424a (PDF) showing the original cost and a column showing the adjustment. The overall total must not change. A fillable PDF of the SF-424a can be downloaded from Grants.gov.

How do I submit the request?

The budget re-budget/reprograming request needs to be completed in Grants Online as an "Award Action Request". A request can be entered by either the Authorized Representative or the Principle Investigator, but will need to be approved in Grants Online by the Authorized Representative prior to being reviewed by NOAA.

- 1. Click the "Award" tab.
- 2. Click the "Search" or the "Search Award" link. The "Search Award" page is displayed.
- 3. Click the "Search" button on the "Search Award" page. When your search results populate, click the award number for which you are submitting the change of budget.
- 4. On the "Grants File" launch page, select the "Create Award Action Request" action from the action drop down menu then click the "Submit" button.
- 5. The "Award Action Request Index" page is displayed with the available Award Action Requests. Click the link for "Reprogram or Re-budget". The requested page will be displayed for you to complete. Enter a short justification, and click the "Save" button.

- 6. The "Award Action Request" page is re-displayed with the attachment link and other fields. You can upload supporting documents at this time. You must submit a revised budget narrative document and SF-424A. After completing the required information, click the "Save and Return to Main" button. Another message will display where you can confirm your request and start workflow, click the "Yes" button.
- 7. A review task is sent to your "Task" inbox for this request. The review task will go first to the creator of the document and then to the Non-federal entity Authorized Representative(s) in the organization. If you have the role of "Non-federal entity Authorized Representative" you will have to submit the request to the appropriate Federal Agency, thus you will have processed two tasks.

More information on budget Grants Online Help Page:

Re-budget Grantee Manual

2 CFR, Chapter 2, PART 200—Uniform Administrative Requirements, Cost Principles, and Audit Requirements For Federal Awards

Section §200.308 - Revision of Budget and Program Plans